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2 September 2009

Office of the Clerk, J. Michael McMahon
U.S. District Court for the Southern District of New York
500 Pearl Street
New York,
New York 10007-1312
United States of American

Dear Mr McMahon

Re: Authors Guild v. Google Inc., No. 05 – CIV-8136 (DC)

I write to object to the Proposed Settlement as a class member. The grounds for my are:

- Court has misapplied the Berne Convention
- Court has exceeded its jurisdiction
- Author Sub-Class not applicable to NZ authors
- Insufficient notice to satisfy notice requirements
- Inadequate compensation
- Overriding contractual relationships between author and publisher
- Unfair treatment of non US authors
- Unfair treatment of non US public
- Antitrust issue surrounding the significant market power Google would acquire through the settlement

Berne Convention

The Berne Convention for the Protection of Literary and Artistic Works provides for protection. It does not provide for reciprocity of burden. Whether one agrees or disagrees with the settlement, clearly it does far more than afford protections to authors. It sets up a system that has been referred to as an international licensing regime requiring affirmative action and requiring authors to understand it first of all and then to take steps even if they wish to opt out of the non reciprocal protections as envisaged by Berne and therefore it is not appropriate to use the treaty as a means to extend the settlement to non US authors. Non US authors should be removed from the author sub-class.

Lack of Jurisdiction

Given that Berne does not of itself bring New Zealand authors within the ambit of the Convention, it follows that the Court does not have jurisdiction over them. Any grant of copyright to a New Zealand author must be subject to New Zealand law and the jurisdiction of the New Zealand courts. I therefore protest the jurisdiction of this Court and reserve all rights in that regard. Nothing in this letter should be construed as a submission to jurisdiction. However, in light of the constraints of the settlement agreement and a non US rightsholder's effective inability

imposition is felt more strongly by absent class-members whose work is not commercially available in the US.

The legal requirement is for individual notice to be sent to all class members whose addresses may be ascertained through reasonable effort. It is clear from media reports that millions of writers, including hundreds of New Zealand writers, have not received individual notice of the Proposed Settlement and that the notice programme in New Zealand falls short on the publication of the Summary Notice which is insufficient.

There is also evidence to show that the Summary Notice caused some confusion in New Zealand with many class members under the impression that it only applied to books published in the US – clearly not the case. Insufficient effort was made to ensure that class members outside the US had a clear understanding of the implications of the Proposed Settlement.

Inadequate Compensation

I feel that the compensation to class members is inadequate and unfair. By way of example, I understand that if Google had been found to have infringed copyright, the minimum damages award would have been US\$750 per infringement. I note that the efficacy of damages penalties in discouraging copyright infringement has recently been reiterated by the Copyright Tribunal in respect to music. A mere US\$60 seems too low.

Overriding contractual relationships between author and publisher

The Proposed Settlement effectively overrides the contractual relationships between authors and publishers, and insufficient clarification is provided should one wish to opt in or opt out. I feel that this is a flaw in the Proposed Settlement that would need consideration should it be approved.

Further, the author publisher procedure does not appear to adequately cater for situations where rights for one jurisdiction are held by the publisher and for another by the author. This again appears to arise from a lack of understanding of and interest in the impact of the settlement on overseas authors. For example, what happens where a New Zealand author has granted New Zealand rights to the publisher but has retained or had reverted to them other NZ rights? The situation is entirely unclear and again this suggests that NZ authors should be removed from the class or the settlement disapproved and returned to the parties for amendment.

I also note with concern the lack of provision for representation of non US authors and publishers on the proposed Book Rights Registry.

Unfair treatment of non US public

The alleged benefits of the Proposed Settlement to the general public apply only in the US. NZ public will gain nothing from the Proposed Settlement.

Antitrust issues surrounding the significant market power Google would acquire through the settlement.

I believe that the sheer scope of Google's market power removes the potential for competition. The opportunity for authors to sell electronic rights to anyone else is remote and raises significant antitrust issues.

In addition, I believe that the requirement for class-members to decide whether they part of the Settlement or not before the Court hearing does not give authors opportunity a fully informed decision.

I urge the Court to reject the Proposed Settlement on the grounds as detailed above.
Please provide written receipt of this objection

Yours truly

A handwritten signature in black ink, appearing to read 'Alison Gray', with a long, sweeping horizontal line extending to the right.

Alison Gray